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# FINITE STATE MACHINES AND RECURRENCE MATRICES OF CRYPTOLOGY

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### ABSTRACT

Cryptology is the process of Cryptography (Encryption) and Cryptanalysis (Decryption). The application of Mathematical models playing a vital role in the security goals and consequently the security attacks for secure communication. The cracking of the code is also a major challenge in recent times. In this process the role of mathematics is, defining a function for encryption in such a way that tracing the inverse of the function must be a difficult process. The fundamental mathematical model in cryptology is the concept of Matrices and in particular nonsingular matrices. In this paper we proposed an innovative technique for cryptology using Finite State Machines (FSM) and matrices obtained from recurrence relations.

Key words: Finite state machine – Recurrence matrix – Fibonacci sequence.

#### **1. INTRODUCTION**

The finite automaton is a mathematical model of a system with discrete inputs and outputs. The system can be one of a finite number of internal configurations or states. The finite automaton is a mathematical model or a system, with discrete inputs and outputs. When the finite automata is modified to allow zero, one, or more transitions from a state on the same input symbol then it is called a nondeterministic finite automata. For deterministic automata the outcome is a state, i.e., an element of Q, for nondeterministic automata the outcome is a subset of Q, where Q is a finite nonempty set of states. Automata theory is the study of abstract computing devices or machines. It is a behavior model composed of a finite number of states, transition between those states and actions in which one can inspect the way logic runs when certain conditions are met. Recently finite state machines are used in cryptography, not only to encrypt the message, but also to maintain secrecy of the message.

A finite state machine or finite-state automaton is a mathematical model of computation used to design both computer programs and sequential logic circuits [2], [9]. It is conceived as an abstract machine that can be in one of the finite states. The machine is only in one state at a time, the state it is in at any given time is called the current state. It can change from one state to another when initiated by triggering event or condition, this called a transition.

Automata theory is a key to software for verifying systems of all types that have a finite number of distinct states, such as communication protocols or protocol for secure exchange of information. In Mealy Machine every finite state machine has a fixed output [1], [2], [4]. Mathematically a Moore machine is a six-tuple  $M = (Q, \Sigma, \Delta, \delta, \lambda', q_0)$  where Q is nonempty finite set of states,  $\Sigma$  is a nonempty finite set of input symbols,  $\Delta$  is a nonempty finite set of output symbols,  $\delta$  is a transition function from  $Q \ x \ \Sigma \rightarrow Q$  and finally  $\lambda$  is a output function defined as  $\lambda(q_i) = i$  for  $i = 0, 1, 2, \dots$ . Let us consider the Moore machine for residue modulo 5 [9], [4].

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Let us choose the number 18, the ternary conversion is 200. Clearly when 18 divided by 5 the remainder is 3. We have  $\delta(q_0, 2) = q_2$ ,  $\delta(q_2, 0) = q_1$ ,  $\delta(q_1, 0) = q_3$ . Therefore  $\lambda(q_3) = 3$ .

#### **Recurrence Matrix**

In the recurrence matrix the elements are from recurrence relation.

For example 
$$Q_n = \begin{bmatrix} 1 & 0 & 0 \\ 0 & f_{n+1} & f_n \\ 0 & f_n & f_{n+1} \end{bmatrix}$$
;  $n \ge 0$ .

### 2. ALGORITHM

#### **Encryption:**

1) Arrange the plain text in the form of a square matrix 'T'.

- 2) A Moore machine for residue modulo is chosen and sent through public channel.
- Choose a recurrence matrix from a Fibinacci or Lucas sequence and consequently the corresponding matrix is extracted, say Q<sub>n</sub>.
- 4) Perform T\*Q<sub>n</sub>.
- 5) Choose the sum of the elements of any row or column, and convert the number to Ternary base.
- 6) The cipher text at  $j_{k+1}^{th}$  state is  $j_k^{th}$  state+  $Q_n$  if the input is 0, otherwise it is  $j_k^{th} *Q_n$ . At each stage, the value of 'n' is the output multiplied by 3.

**Decryption:** As the matrix obtained from recurrence matrix is nonsingular, the inverse  $Q_n$  is  $I_n$ . The matrix in the  $j_{k+1}^{th}$  state is multiplied by  $I_n$  to get  $j_k^{th}$  state cipher text. Repeat the process to get the required plain text.

#### **Implementation:**

Allocate 0 to letter a, 1 to letter b, 2 to letter c and so on and 25 to letter z. Let the plain text be 'COMMITTEE'. The corresponding matrix of the plain text T is

$$\mathbf{T} = \begin{bmatrix} C & O & M \\ M & I & T \\ T & E & E \end{bmatrix} = \begin{bmatrix} 2 & 14 & 12 \\ 12 & 8 & 19 \\ 19 & 4 & 4 \end{bmatrix}$$

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Let the recurrence matrix be

$$Q_{n} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & f_{n+1} & f_{n} \\ 0 & f_{n} & f_{n+1} \end{bmatrix}$$

where 'f<sub>n</sub>' is Fibonacci sequence. Secret key = Sum of the elements of the last column = $35 = (1022)_3$ .

The cipher text at each stage is

S. No.	Input	Previous state	Present state	Output	Key values	Cipher text
1	1	$q^0$	$q^1$	1	$\begin{bmatrix} 1 & 0 & 0 \end{bmatrix}$	2 66 64
					0 3 2	12 62 73
					$\begin{bmatrix} 0 & 2 & 3 \end{bmatrix}$	<b>19</b> 20 20 <b>1</b>
2	0	$q^1$	$q^3$	3	$\begin{bmatrix} 1 & 0 & 0 \end{bmatrix}$	2 5806 5764
					0 55 34	12 5892 6123
					0 34 55	_19 1780 1780
3	2	$q^3$	$q^1$	1	$\begin{bmatrix} 1 & 0 & 0 \end{bmatrix}$	2 28946 28904
					0 3 2	12 29922 30153
					$\begin{bmatrix} 0 & 2 & 3 \end{bmatrix}$	19 8900 8900
4	2	q <sup>1</sup>	$q^0$	0	$\begin{bmatrix} 1 & 0 & 0 \end{bmatrix}$	2 28946 28904
					0 1 0	12 29923 30153
					$\begin{bmatrix} 0 & 0 & 1 \end{bmatrix}$	19 8900 8901

# Table: 1

	2	28946	28904	]
Therefore the cipher text matrix is	12	29923	30153	Reducing each entry in the matrix to mod 26, th
	19	8900	8901	

resultant message is 38181223191989, which is equivalent to dismxttij. [8]

# CONCLUSIONS

In the proposed method a new concept of finite state machines is introduced, a choice of selecting the recurrence matrix is presented. The security levels are

- 1) Secret key
- 2) Recurrence matrix
- 3) Moore machine for residue modulo 'n'

In view of the above security levels the cracking of the code is very difficult by known attacks.

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